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# NOTICE OF ALLOWANCE AND FEE(S) DUE

47386

7590

09/30/2010

RYAN, MASON & LEWIS, LLP 1300 POST ROAD SUITE 205 FAIRFIELD, CT 06824

EXAMINER				
ELPENORD, CANDAL				
ART UNIT	PAPER NUMBER			
2473				

DATE MAILED: 09/30/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/562,620	05/26/2006	Robert John Kopmeiners	KOPMEINERS 8-3-4	6172

TITLE OF INVENTION: METHODS AND APPARATUS FOR BACKWARDS COMPATIBLE COMMUNICATION IN A MULTIPLE ANTENNA

COMMUNICATION SYSTEM USING TIME ORTHOGONAL SYMBOLS

CONTINUING TO STATE OF TIME ON THOUGHAL STIMBOLS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	12/30/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 47386 7590 09/30/2010 Certificate of Mailing or Transmission RYAN, MASON & LEWIS, LLP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 1300 POST ROAD SUITE 205 FAIRFIELD, CT 06824 (Depositor's name (Signature (Date APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 10/562,620 05/26/2006 **KOPMEINERS 8-3-4** 6172 Robert John Kopmeiners TITLE OF INVENTION: METHODS AND APPARATUS FOR BACKWARDS COMPATIBLE COMMUNICATION IN A MULTIPLE ANTENNA COMMUNICATION SYSTEM USING TIME ORTHOGONAL SYMBOLS APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$300 \$0 \$1810 12/30/2010 **EXAMINER** ART UNIT CLASS-SUBCLASS ELPENORD, CANDAL 2473 370-208000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this fo Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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1300 POST RO	AD			ART UNIT	PAPER NUMBER
SUITE 205 FAIRFIELD, C	Т 06824			2473 DATE MAILED: 09/30/201	0

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)		
	10/562,620	KOPMEINERS ET AL.		
Notice of Allowability	Examiner	Art Unit		
	CANDAL ELPENORD	2473		
The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313  1. ☑ This communication is responsive to July 02, 2010.  2. ☑ The allowed claim(s) is/are 1-4, 7-19, 22-29, renumbering and an improved a claim for foreign priority under the communication is made of a claim for foreign priority under the communication is made of the priority documents have	ears on the cover sheet with the (OR REMAINS) CLOSED in this a or other appropriate communication GHTS. This application is subject and MPEP 1308.  See 1-25 respectively.  Sinder 35 U.S.C. § 119(a)-(d) or (f).	pplication. If not included on will be mailed in due course. <b>THIS</b>		
2. Certified copies of the priority documents have	been received in Application No.	·		
3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.  5. CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.  (a) including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached  1) hereto or 2) to Paper No./Mail Date  (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).  6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.				
Attachment(s)  1. ☑ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	5. Notice of Informal 6. Interview Summar Paper No./Mail D 7. Examiner's Amend 8. Examiner's Staten 9. Other	ry (PTO-413), ate		

#### **DETAILED ACTION**

### Reasons For Allowance

1. The following is an examiner's statement of reasons for allowance: Claims 1-4, 7-19, 22-29 (Renumbering as 1-25 respectively) are allowed.

The present invention is directed to a method and apparatus for method and apparatus are disclosed for transmitting symbols in a multiple antenna communication system according to a frame structure, such that the symbols can be interpreted by a lower order receiver (i.e., a receiver having a fewer number of antennas than the transmitter). Each independent claim uniquely identifies the distinct claimed features.

Regarding independent claim 1(Previously Presented) A method for transmitting data in a multiple antenna communication system having N transmit antennas, said method comprising the step of: transmitting a legacy preamble and at least one additional long training symbol on each of said N transmit antennas, wherein said legacy preamble comprises at least one long training symbol, wherein a sequence of each of said long training symbols on each of said N transmit antennas are orthogonal, and wherein each of said long training symbols are time orthogonal by introducing a phase shift between at least two of said training symbols transmitted on one of said N transmit antennas.

Regarding independent claim 16 (Previously Presented) A transmitter in a multiple antenna communication system, comprising: a transmitter circuit; and N transmit antennas for transmitting a legacy preamble and at least one additional long training symbol on each of said N transmit antennas, wherein said legacy preamble

comprises at least one long training symbol, wherein each of said long training symbols are orthogonal, and wherein each of said long training symbols are time orthogonal by introducing a phase shift between at least two of said training symbols transmitted on one of said N transmit antennas.

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Regarding independent claim 26 (Currently Amended) A method for receiving data on at least one receive antenna transmitted by a transmitter having N transmit antennas in a multiple antenna communication system, said method comprising the steps of: receiving a legacy preamble and at least one additional long training symbol on 5 each of said N transmit antennas, wherein said legacy preamble comprises at least one long training symbol and an indication of a duration of a transmission of said data, wherein a sequence of each of said long training symbols on each of said N transmit antennas are orthogonal, said legacy preamble transmitted such that said indication of a duration is capable of being interpreted by a lower order receiver, and wherein each of said long training symbols are time orthogonal due to a phase shift that was introduced between at least two of said training symbols transmitted on one of said N transmit antennas; and deferring for said indicated duration.

Regarding independent claim 29 (Currently Amended) A receiver in a multiple antenna communication system having at least one transmitter having N transmit antennas, comprising: a receiver circuit; and at least one receive antenna tor receiving a legacy preamble and at least one additional long training symbol on each of said N transmit antennas, wherein said legacy preamble comprises at least one long training symbol and an indication of a duration of a transmission of said data, wherein a

sequence of each of said long training symbols on each of said N transmit antennas are orthogonal, said legacy preamble transmitted such that said indication of a duration is capable of being interpreted by a lower order receiver, and wherein each of said long training symbols are time orthogonal due to a phase shift that was introduced between at least two of said training symbols transmitted on one of said N transmit antennas; and means the deferring the said indicated duration.

The closest prior arts are silent wit respect to the uniquely distinct claimed features: "wherein each of said long training symbols are time orthogonal due to a phase shift that was introduced between at least two of said training symbols transmitted on one of said N transmit antennas; and deferring for said indicated duration" as recited in **claims 1**, **16**, "wherein each of said long training symbols are time orthogonal due to a phase shift that was introduced between at least two of said training symbols transmitted on one of said N transmit antennas; and deferring for said indicated duration" as recited in **claims 26**, **29**.

Claims 1, 16, 26 and 29 are allowable since the closest prior arts either singularly or in combination fail to anticipate or render the uniquely distinct claimed features obvious.

Dependent claims 2-4, 7-15, 17-19, 22-25, 27-28 are allowed by virtue of their dependency on claims 1, 16 and 26.

2. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably

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accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### Conclusion

3. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Kuchi et al (US 7,065,156 B1), Jones et al (US 7,453,793 B1), Kadous et al (US 2004/012730 A1), Li et al (US 2004/0022174 A1), Perahia et al (US 7,352,688 B1), Li et al (US 7,103,105 B2) and Gardner et al (US 2005/0233709 A1).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CANDAL ELPENORD whose telephone number is (571) 270-3123. The examiner can normally be reached on Monday through Friday 8:00AM to 5:00PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kwang Bin Yao can be reached on (571) 272-3182. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Candal Elpenord/ Examiner, Art Unit 2473

/KWANG B. YAO/

Supervisory Patent Examiner, Art Unit 2473